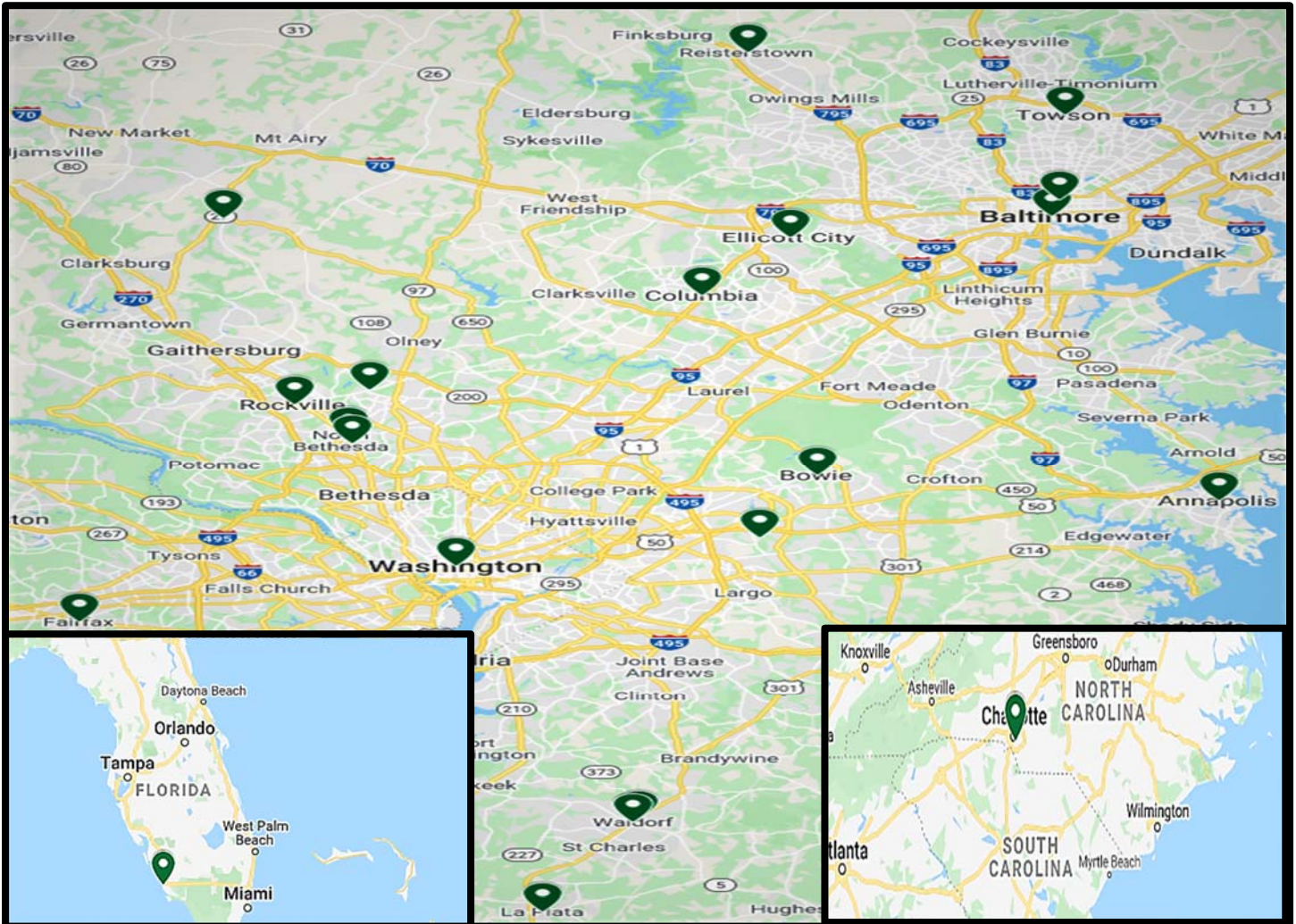


The Williams Group

Rep Group Demographics

Who We Are: Our production group joined H. Beck, Inc. in late 2003 with 13 members - we have since grown into one of the largest production groups within the broker/dealer. Our size has enabled us to become an influential force within the broker/dealer as well as to allow us to better represent and support our diversified rep group.

Many of our reps practice in niche markets with specialties that make them unique to the group. This diversification of practices among our reps provides an active referral network and provides a large pool of experience to share among the group members. We compliment, we do not compete, and our overall goal involves expanding our core knowledge base.



Services We Offer

- Transition & Ongoing Support
- Quarterly *Rep Rap* Conference Call
- Monthly *Rep Rap* Tips Email
- Semi-Annual Payout Review
- Forms/Ideas Sharing
- Periodic Group Meetings/Events

Rep Group Stats

- Number of Reps in Group: 22
- CPA's: 10
- CFP® Practitioners: 5
- H. Beck, Inc. Investment Advisor Representatives: 17
- Insurance Licensed: 17
- Series 7 - General Securities Representatives: 12

Securities offered through H, Beck, Inc., Member FINRA, SIPC